AWARD NUMBER: W81XWH-12-1-0584

TITLE: A Combined Training Program for Veterans with Amnestic Mild Cognitive Impairment

PRINCIPAL INVESTIGATOR: Jennifer K. Fairchild

CONTRACTING ORGANIZATION: Palo Alto Institute for Research & Education, Inc.

Palo Alto, CA 94303

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Introduction

The graying of our Veteran population presents the VA with an increasingly large number of patients experiencing cognitive impairment, such as amnestic Mild Cognitive Impairment (aMCI). Persons with aMCI have a significantly greater risk of developing dementia than persons without cognitive impairment. We address the need to improve cognition and quality of life in Veterans with aMCI through a two-year, randomized controlled trial. This is a two-phase trial: 1) an exercise phase and 2) a cognitive training program. The exercise phase will be either a combined aerobic and resistance exercise program or a stretching exercise program. Several possibilities exist as to how physical activity impacts cognition. For example, physical activity both increases cardiorespiratory fitness and also reduces the rate and severity of several vascular risk factors for cognitive impairment such as hypertension, obesity, and type II diabetes mellitus [1; 2; 3; 4]. Hence, it may be that by improving cardiovascular health and reducing vascular risk factors associated with cognitive impairment, physical activity is able to delay or prevent cognitive impairment. The investigators hope to learn if a combination of aerobic and resistance exercise program will augment an already established efficacious treatment for persons with aMCI. Participants will attend thrice-weekly group exercise sessions at the VA Palo Alto Health Care System (VAPAHCS) for two months and then transition to a four-month long home-based exercise program. After completion of the exercise program, all participants will attend ten two-hour classroombased cognitive training at VAPAHCS. The current study will evaluate the efficacy of an exercise training augmentation for cognitive training intervention to improve memory performance in Veterans with a diagnosis of amnestic Mild Cognitive Impairment (aMCI).

The study is currently in the Data Collection Phase. The primary goal of this phase is the successful recruitment and randomization of participants into the trial. This is accomplished through a diverse, multimodal recruitment plan. One primary form of recruitment has been through the review of electronic medical records at the VAPAHCS. During our initial approval process, we obtained a HIPAA waiver and appropriate approvals to screen using CPRS (VA's Electronic medical record). Study staff received approval from providers to review clinics for potential participants. These clinics include the Geriatric Memory Clinic, VA Outpatient Neuropsychology Service, Stanford/VA Alzheimer's Research Center, and the Mental Illness Research, Education, and Clinical Center. Once potential participants are identified, study staff sends an IRB approved letter from the treating provider to the veteran about the study. This letter includes a contact card so that the veteran would need to "opt-in" to be contacted by study staff regarding a phone screen.

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From September 27, 2014 to September 26, 2015 we have phone screened a total of 118 potential participants. Of those 118 veterans who completed the phone screen interview, 86 participants were consented by study staff and completed screening measures at VA Palo Alto. Of those 86 participants who were consented and completed screening measures, 33 have been identified as eligible for the study program.

Key Research Accomplishments

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Reportable Outcomes

Preliminary findings from this data, reported in prior reports (see 2013-2014 Annual Report) were essential in securing of four new sources of funding from the Alzheimer's Association, VA, and DOD. First, the Alzheimer's Association awarded Dr. Fairchild a New Investigator Research Grant (NIRG) award for the project, "Exercise and Cognitive Function in Older Adults with MCI". The focus of this NIRG award is to identify cellular and molecular mechanisms of exercise response in the sample of the current DOD TATRC funded project with a particular focus on proteomics which will consist of targeted measurements of circulating plasma proteins with endocrine activity including myokines, hormone-like proteins, growth factors and so forth. Additional intramural funds from the Palo Alto VA RR&D REAP: Innovative Rehabilitation Strategies for Muscle Dysfunction. Through this additional intramural funding, we hope to conduct a small sample of whole genome sequencing, to provide pilot data for future large scale analysis.

In addition to the Alzheimer's Association and local intramural funding, Dr. Fairchild and colleagues were awarded a VA Rehabilitation Research and Development SPiRE Award for the project, "WATER-VET (Water-based Activity to Enhance Recall in Veterans). As in the AANIRG award, the preliminary findings from the current DOD project were encouraging yet it was clear from our enrollment data that approximately 15% of veterans were ineligible due to musculoskeletal issues, which made weight bearing exercise impossible. While water-based work is the most recommended exercise for older adults, it is unknown whether it is appropriate as an augmentation for cognitive training in veterans with MCI. The purpose of the SPiRE is to determine if water-based physical activity is feasible in this population.

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Thus in the past year, we have secured about \$800,000 in additional funding for research that is directly related to the current project.

Conclusions

As of September 26, 2015 the study has successfully continued the Data Collection phase and all the associated tasks (i.e., obtaining of regulatory approvals, purchasing of necessary equipment, and hiring of staff). In the past year, we have phone screened a total of 118 potential participants. Of those 118 veterans who completed the phone screen interview, 86 participants were consented by study staff and completed screening measure at VA Palo Alto. Of those 86 participants who were consented and completed screening measures, 33 have been identified as eligible for the study program (CARE= 16 and SE= 17), bringing out total randomized up to 63.

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At present there are no pharmacological interventions with demonstrated efficacy for the improvement of cognition related to MCI, thus the results of this research have the potential to make a great impact on the lives of older veterans and civilians alike. Veterans, in particular, experience a larger burden of psychiatric and medical illnesses than non-Veterans, which may place them at higher risk for developing cognitive decline.

References

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Table 1. List of Exclusionary Criteria

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Screen Code	Criteria		
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E02	Diagnosis of dementia, CDR > 0.5; modified Hachinski score ≥ 4; Blessed Orientation Memory Concentration task (BOMC) > 10, or delirium (those with scores indicative of dementia will be referred to the ARC for a full diagnostic work-up).		
E03	History of neurological disorder (e.g., multiple sclerosis, seizure disorder, stroke, history of transient ischemic attacks) or systemic illness affecting CNS function (e.g., liver failure, kidney failure, congestive heart failure, systemic cancer)		
E04	Acute illness or unstable chronic illness, e.g., history of severe liver disease (cirrhosis, esophageal varices, ascites, portal hypertension, hepatic encephalopathy).		
E05	Current severe cardiac disease (e.g., uncontrolled atrial fibrillation, defined as mean 24 hour heart rate > 85 beats/min, or 24 hour maximal ventricular rate > 150 beats/min; uncontrolled ventricular arrhythmias, defined as recurrent ventricular tachycardia > 3 beats in succession, or 24 hour PVC count > 20%; active pericarditis or myocarditis; Class III/IV heart failure and / or ejection fraction < 20%; thrombophlebitis; pulmonary disease with a drop in O2 Sat with exercise to 90% without oxygen; embolism within past 6 months).		
E06	Inability to participate in an exercise stress test or inability to exercise consistently because of orthopedic or musculoskeletal problems		
E07	Morbid obesity (BMI > 39).		
E08	Inability to read, verbalize understanding and voluntarily sign the Informed Consent. Veterans meeting any of these exclusion criteria will be excluded from all aspects of this project.		
E09	Not a veteran		
E10	Not in between the ages of 50-90		
E11	Did not have a diagnosis of aMCI		
E12	Did not have an available informant to document cognitive impairment and functional status		
E13	Did not have sufficient visual and auditory acuity to allow neuropsychological testing		
E15	Did not have willingness to participate in exercise training + cognitive training program for 8 months.		
E16	Did not have approval of primary provider to participate in an exercise trial.		
E17	Refusal to sign the consent and or HIPAA form		

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E04	Acute illness or unstable chronic illness, e.g., history of severe liver disease (cirrhosis, esophageal varices, ascites, portal hypertension, hepatic encephalopathy).		
E05	Current severe cardiac disease (e.g., uncontrolled atrial fibrillation, defined as mean 24 hour heart rate > 85 beats/min, or 24 hour maximal ventricular rate > 150 beats/min; uncontrolled ventricular arrhythmias, defined as recurrent ventricular tachycardia > 3 beats in succession, or 24 hour PVC count > 20%; active pericarditis or myocarditis; Class III/IV heart failure and / or ejection fraction < 20%; thrombophlebitis; pulmonary disease with a drop in O2 Sat with exercise to 90% without oxygen; embolism within past 6 months).		
E06	Inability to participate in an exercise stress test or inability to exercise consistently because of orthopedic or musculoskeletal problems		
E07	Morbid obesity (BMI > 39).		
E08	Inability to read, verbalize understanding and voluntarily sign the Informed Consent. Veterans meeting any of these exclusion criteria will be excluded from all aspects of this project.		
E09	Not a veteran		
E10	Not in between the ages of 50-90		
E11	Did not have a diagnosis of aMCI		
E12	Did not have an available informant to document cognitive impairment and functional status		
E13	Did not have sufficient visual and auditory acuity to allow neuropsychological testing		
E15	Did not have willingness to participate in exercise training + cognitive training program for 8 months.		
E16	Did not have approval of primary provider to participate in an exercise trial.		
E17	Refusal to sign the consent and or HIPAA form		